

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of SINGHAL et al

Serial No. 09/848,662 09/848,441

Examiner: Not Assigned

Filed: May 3, 2001

Group Art Unit: 2182

For: LOCATION-AWARE SERVICE PROXIES IN A SHORT-RANGE WIRELESS ENVIRONMENT



CERTIFICATE UNDER 37 CFR 1.8(a)  
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Glenn E. Gold  
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Reg. No. 41,039

DATE: Aug. 28, 2001

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**PETITION AND RESPONSE TO NOTICE OF OMITTED  
ITEMS IN A NONPROVISIONAL APPLICATION**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

This communication is responsive to the Notice of Omitted Items in a Nonprovisional Application, mailed June 28, 2001, a copy of which is enclosed. This petition/response is accompanied by a \$130.00 petition fee under 37 CFR 1.17(h).

**REMARKS**

Applicants concurrently filed two individual commonly-assigned patent applications on May 3, 2001, each having a corresponding set of informal drawing figures. Apparently, the applications for the two cases were advertently switched prior to filing, such that the first application was mailed along with filing papers (including drawings) corresponding to the second application and vice-versa.

Applicants contacted Customer Service (Preston Wallace) and were advised that

the respective files were being ordered and Applicants would be contacted as soon as the files were received in order to properly match the applications and corresponding filing papers.

Furthermore, Applicants contacted the Patent Assistance Center (Andrew James) and were advised to respond to the Notice by providing, along with this Petition/Response and the \$130.00 petition fee, copies of the respective return postcards proving that the applications and associated filing papers were all filed on May 3, 2001. Copies of both postcards are enclosed. As further requested, applicants have provided herewith copies of both patent applications properly matched with the corresponding drawings and filing papers. If necessary, Applicants will file a request for corrected filing receipts after the applications, drawings and other filing papers are properly matched in the respective USPTO files.

Applicants submit that the above-noted "omitted" items were in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional papers, and respectfully request that the enclosed petition fee be refunded.

Respectfully submitted,

Date: Aug 27, 2001

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FIG. 1

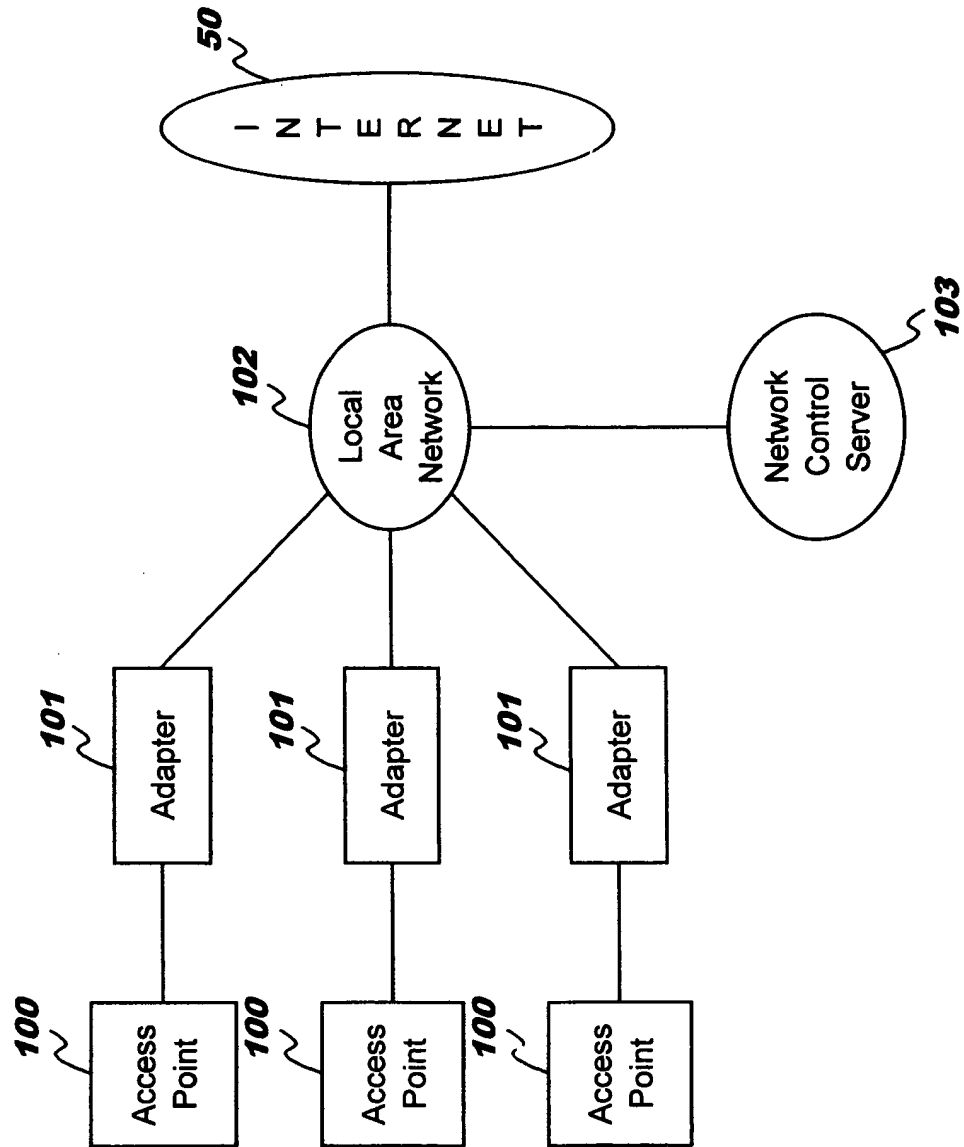


FIG. 2

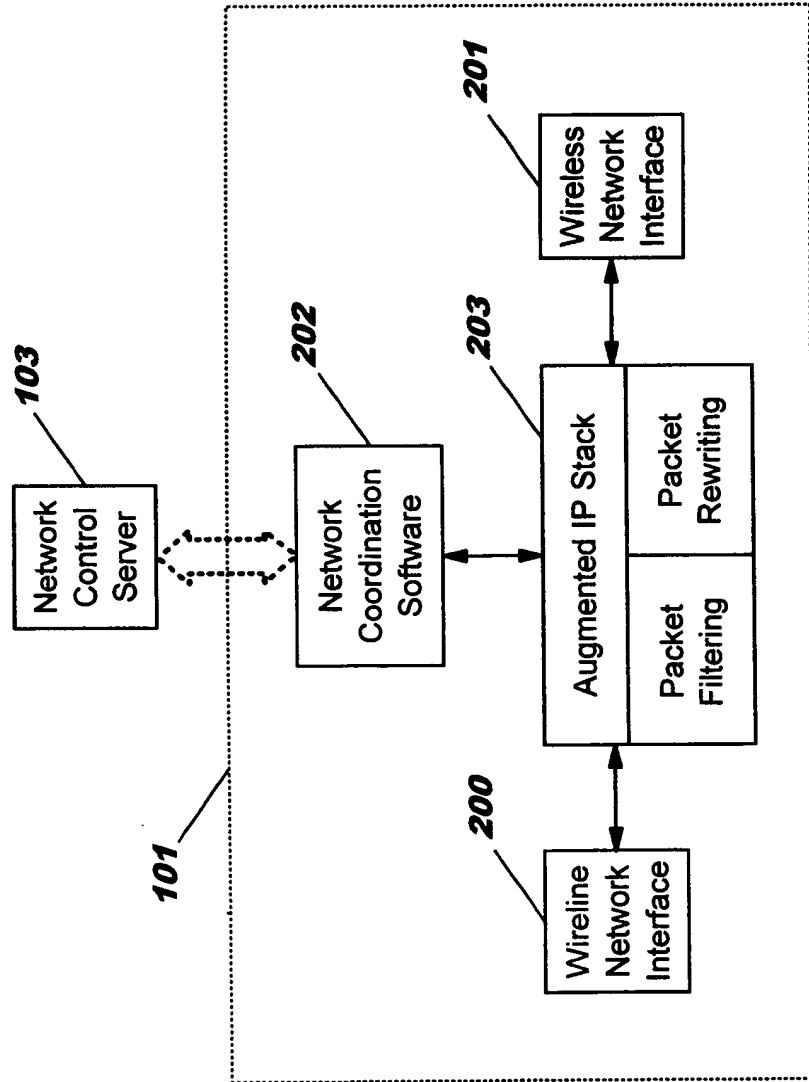
FIG.  
All

FIG. 3

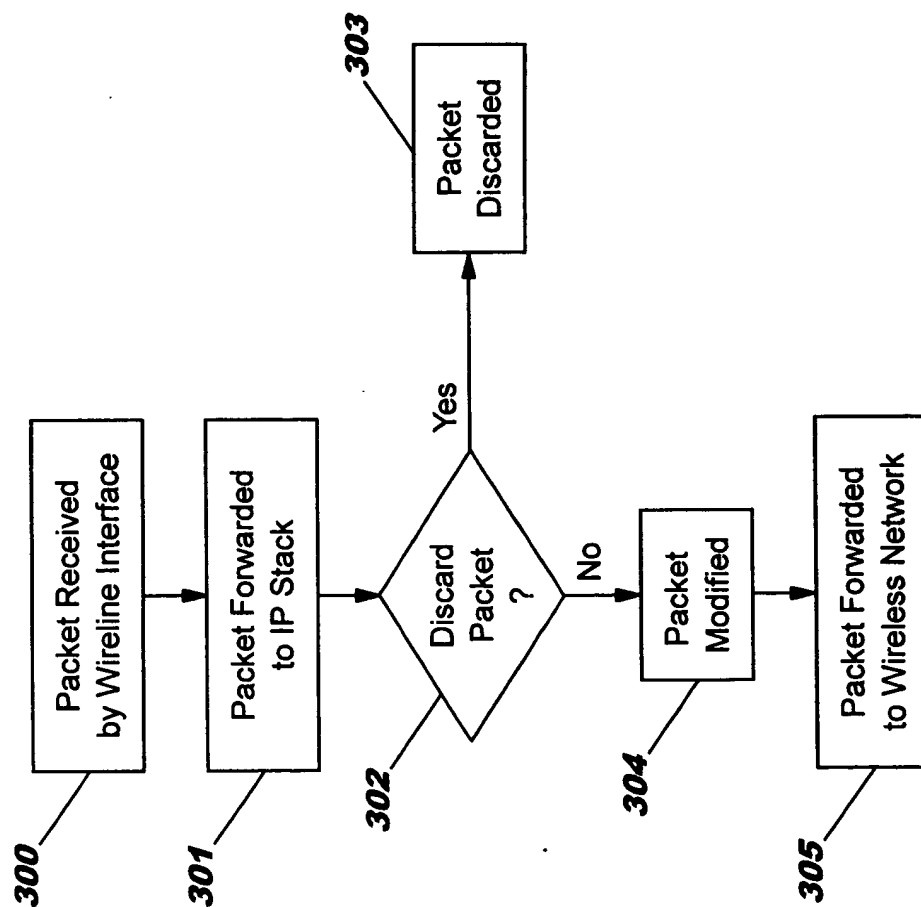


FIG. 4

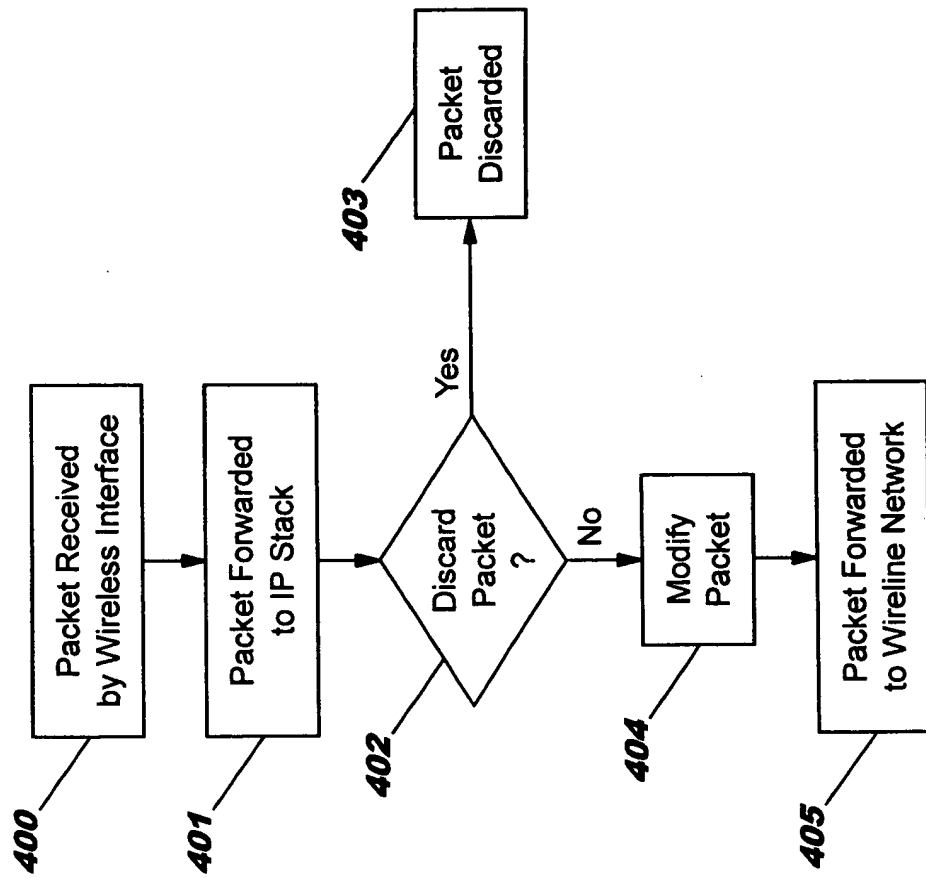
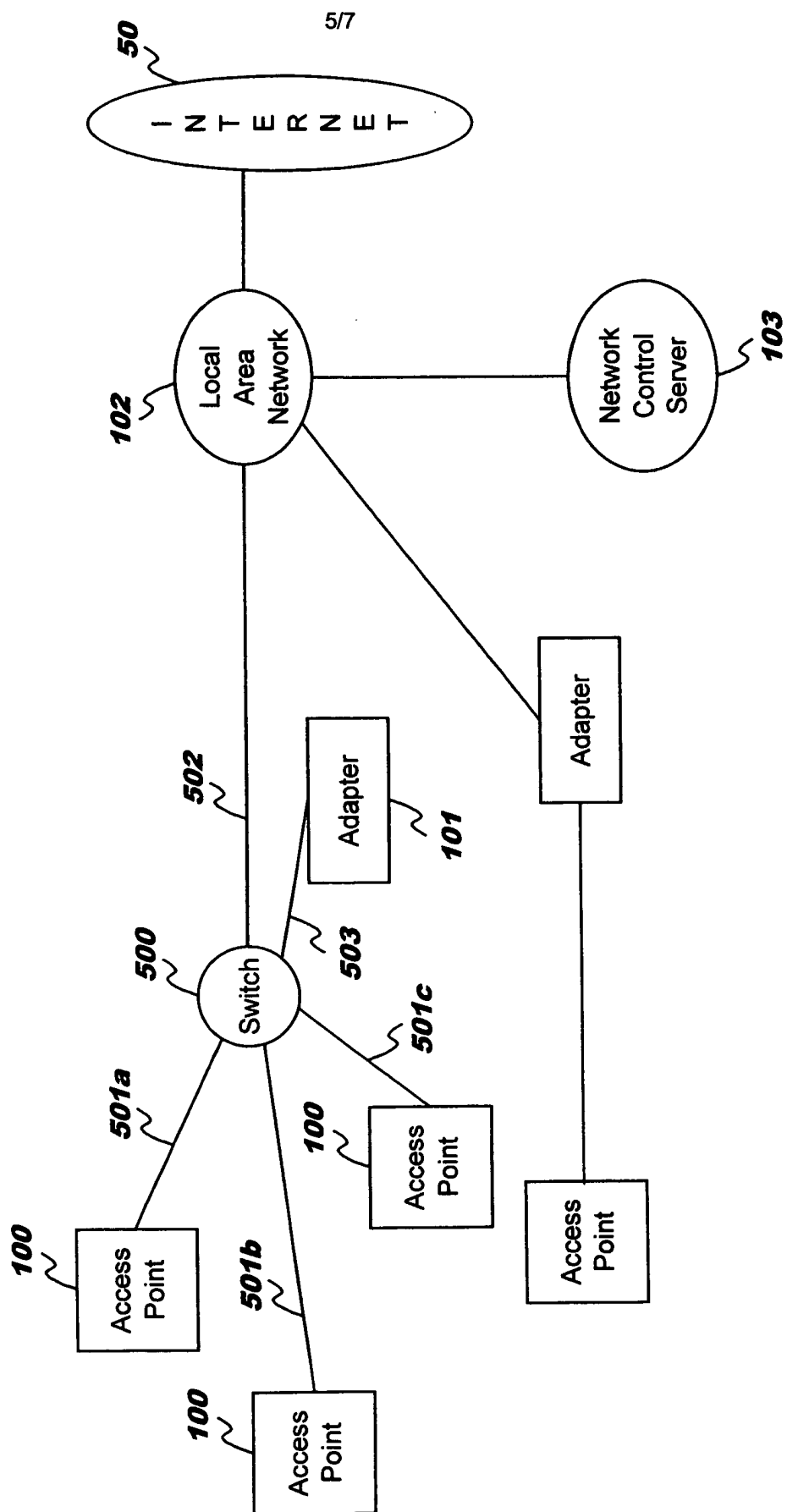


FIG. 5



67

FIG. 6

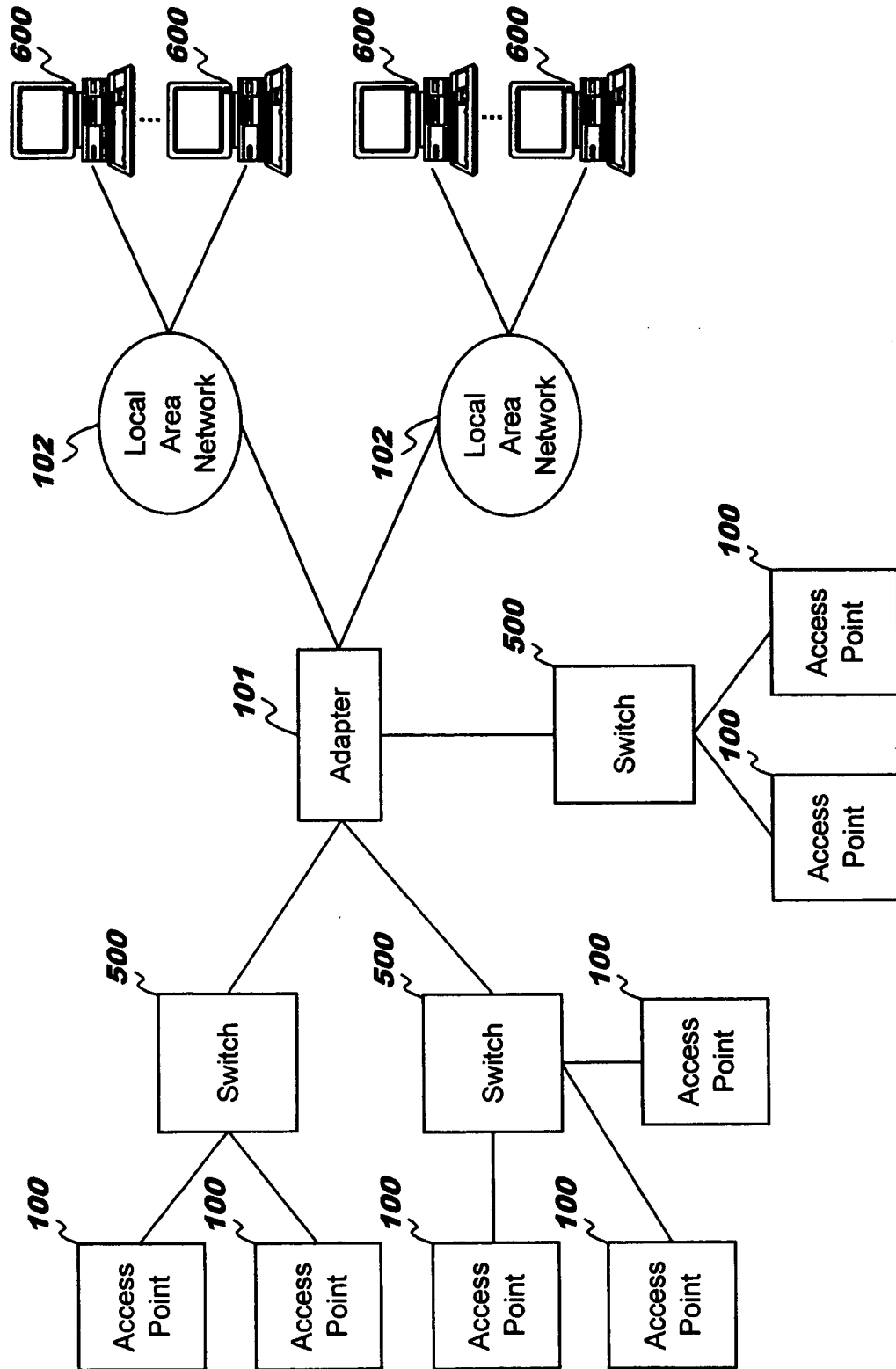
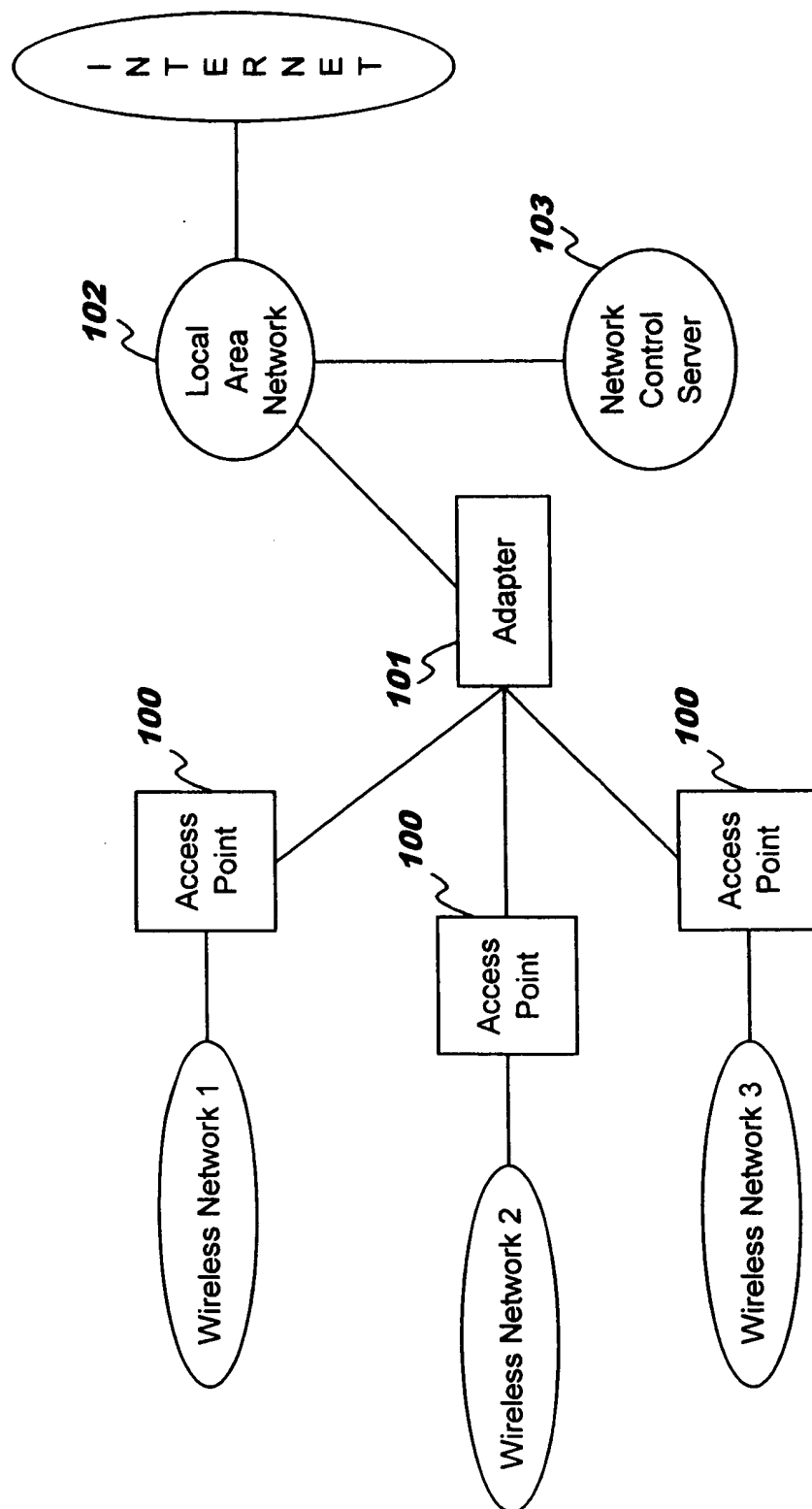




FIG. 7



filing 02 MAY 2002  
priority 03 MAY 2001  
(not granted)

THAT WHICH IS CLAIMED IS:

1 ① A system for providing a network adapter for one or more  
2 access points in a local area network environment, comprising:  
3 means for connecting said one or more access points to a wired  
4 network;  
5 means for connecting said one or more access points to a  
6 wireless network;  
7 means for enforcing a managed network environment; and  
8 means for communicating with a network control server.

1 2. A system as recited in claim 1, wherein said means for  
2 connecting to a wired network further comprises a wireline network  
3 interface.

1 3. A system as recited in claim 1, wherein said means for  
2 connecting to a wireless network further comprises a wireless  
3 network interface.

1 4. A system as recited in claim 3 wherein said wireless network  
2 interface is coupled to a wireless access point.

1 5. A system as recited in claim 4 wherein said wireless access  
2 point further comprises an 802.11 type access point.

1 6. A system as recited in claim 4 wherein said wireless access  
2 point further comprises a Bluetooth type access point.

1 7. A system as claimed in claim 3 wherein said wireless network  
2 interface is coupled to a Local Area Network (LAN) port.

1 8. A system as recited in claim 1 wherein said means for  
2 enforcing a managed network environment further comprises an  
3 augmented IP stack.

1 9. A system as recited in claim 8 wherein said augmented IP stack  
2 includes a Mobile IP Foreign Agent.

1 10. A system as recited in claim 8 wherein said augmented IP stack  
2 detects and handles packets corresponding to a plurality of network  
3 services.

1 11. A system as recited in claim 1 wherein said means for  
2 communicating further comprises network coordination software.

1 12. A system as recited in claim 1 wherein said network adapter  
2 includes a plurality of wireline network interfaces.

1 13. A system as recited in claim 1 wherein said network adapter  
2 includes a plurality of wireless network interfaces.

1 14. A system as recited in claim 1 wherein said network adapter  
2 is coupled to a switch and said switch is coupled to a plurality  
3 of short-range wireless access points.

1 15. A system as recited in claim 14 wherein said switch is  
2 programmable to automatically forward all inbound packets from  
3 wireless access point LAN segments to a segment containing said  
4 network adapter.

1 16. A system as recited in claim 14 wherein said switch is  
2 programmable to automatically forward all packets not originating  
3 from a LAN segment containing the network adapter and destined to  
4 an access point segment, to the LAN segment containing said network  
5 adapter.

1 17. A system as recited in claim 14 wherein the access points or  
2 wireless clients are programmed to forward all packets to said  
3 network adapter.

1 18. A system as recited in claim 1 wherein said network control  
2 server is co-located with said network adapter.

1 19. A system as recited in claim 1 wherein said network control  
2 server is co-located with a Core Server.

1 20. A system as recited in claim 1 wherein said network control  
2 server is co-located with a Routing Coordinator.

1 21. A system as recited in claim 1 wherein said network adapter  
2 further comprises at least one of a stand-alone personal computer  
3 (PC) and a special purpose computing machine.

1 22. A system as recited in claim 1 wherein said network adapter  
2 further comprises software stored within said one or more access  
3 points.

1 23. A system as recited in claim 1 wherein said network control  
2 server is distributed over said wired network.

1 24. A system as recited in claim 1 wherein said network adapter  
2 is connectable to one or more access points located on a plurality  
3 of LAN segments.

1 25. A system as recited in claim 1 wherein said network adapter  
2 is connectable to different wireless LANs.

1 26. A system as recited in claim 1 wherein said network adapter  
2 is co-located with at least one of a Handoff Management Point, a  
3 Home Address Masquerader and a Foreign Address Masquerader.

1 27. A method for providing a network adapter for a plurality of  
2 access points in a local area network environment, comprising the  
3 steps of:

- 4 connecting said access points to a wired network;  
5 connecting said access points to a wireless network;  
6 enforcing a managed network environment; and  
7 communicating with a Network Control Server.

1 28. A method as recited in claim 27 wherein the step of enforcing  
2 a managed network environment further comprises the steps of:

- 3 receiving packets from a wireline network;  
4 processing said packets through an augmented IP stack;  
5 determining whether to rewrite said packets; and

6        forwarding said packets to said wireless network.

1    29. A method as recited in claim 28, further comprising, prior to  
2    the step of forwarding said packets to said wireless network, the  
3    step of determining whether to filter said packets.

1    30. A method as recited in claim 27 wherein the step of enforcing  
2    a managed network environment further comprises the steps of:  
3        receiving packets from a wireless network;  
4        processing said packets through an augmented IP stack; and  
5        forwarding said packets to a wireline network.

1    31. A method as recited in claim 30, wherein said step of  
2    processing further comprises, prior to the step of forwarding, the  
3    steps of:  
4        determining whether to filter said packets; and  
5        determining whether to rewrite said packets.

1    32. A method as recited in claim 31, further comprising the steps  
2    of:  
3        detecting packets corresponding to a plurality of network  
4    services via said augmented IP stack; and  
5        handling said packets.

( 1    33. A method as recited in claim 27, further comprising the step  
2    of determining an access point currently associated with a mobile  
3    client by inspecting a media access control (MAC) address  
4    associated with packets transmitted by the mobile client.

## ABSTRACT OF THE DISCLOSURE

A system and a method for enabling existing short range wireless access points (100) to participate within a coordinated networked environment through the use of adapters (101) that extend the access points' capabilities, implement policies, and perform  
5 other operations.

EXPRESS MAIL LABEL NO.: ET679118549US DATE OF DEPOSIT: 2 May 2002  
I hereby certify that this paper and fee are being deposited with the United States Postal Service Express Mail  
Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231.

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**METHOD AND SYSTEM FOR ADAPTING SHORT-RANGE WIRELESS ACCESS  
POINTS FOR PARTICIPATION IN A COORDINATED NETWORKED ENVIRONMENT**

CROSS REFERENCE TO RELATED APPLICATIONS

This application is co-pending with and claims pursuant to 35  
U.S.C. § 120 as to its common subject matter the filing date of  
patent application serial number 09/637,742, filed August 11, 2000,  
and patent application serial number 09/657,745, filed September  
8, 2000.

TECHNICAL FIELD

The invention relates generally to wireless networks, and more  
particularly to an adapter and method for extending stand-alone  
5 wireless access points to enable their delivery of an integrated  
solution within a network environment.

BACKGROUND

Short-range wireless technologies such as 802.11, Bluetooth,  
10 HomeRF, and others are being rapidly deployed to allow mobile  
devices to connect with existing intra-building wired Local Area  
Networks (LANs). To enable this connectivity, wireless access  
points are being developed by various manufacturers. An example  
of such an access point is the Aironet 340 access point (an 802.11

type access point) manufactured by Cisco Systems, Inc. of San Jose, California. Another example is the AXIS 9010 access point (a Bluetooth type access point) manufactured by Axis Corporation of Lund, Sweden.

5

Traditional wireless access points provide limited functionality, essentially limited to enabling a so-called "hotspot" of connectivity to the LAN. The access point operates by forwarding data packets from the wireless environment to the wired  
10 LAN, and vice versa. However, within an environment containing multiple access points, conventional stand-alone access points have several limitations. For example, a stand-alone access point: (1) cannot be centrally managed; (2) cannot support layer 3 (IP) roaming with other access points; (3) cannot enforce quality-of-  
15 service (QoS) metrics; (4) cannot deliver centralized logging and reporting; and (5) provides only limited security and authentication capability, and no server managed security.

Existing efforts to address the aforementioned limitations  
20 involve the incorporation of new infrastructure into an existing network to provide some of the missing services for the access points. One example of this approach is the combination of a Cisco Aironet 350 access point and a Cisco Secure Access Control Server for delivery of authentication and dynamic encryption key  
( 25 generation services. Another example of this approach is the incorporation of a 3Com SuperStack II switch for delivery of authentication and virtual private networking (VPN) access to wireless users. Such conventional approaches, however, require one or more of the following: (1) mandatory software on the client  
30 devices (e.g., VPN software); (2) particular versions of wireless client hardware or firmware, thereby forcing a homogeneous environment; (3) upgrades to the existing wireless access points; and (4) complex network configurations, since multiple pieces of infrastructure must be separately installed, configured and  
35 managed.

These requirements make deployment difficult, limit device choice, and do not provide a scalable approach to delivering all



of the required services for the access points in an enterprise network. Accordingly, there is an established need for improvements over prior art wireless access point systems.

5

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a network adapter for an access point within a networked environment.

10 It is another object of the present invention to provide a network adapter for a plurality of access points within a switched Local Area Network.

In a preferred embodiment of the invention, an adapter device  
15 is provided connected to each short-range wireless access point in a network. Each packet transmitted between an access point and the wired LAN passes through the adapter. The adapter may be implemented as a stand-alone Personal Computer (PC), a special-purpose computing appliance, or as a component that is physically  
20 coupled to the access point, with the component / access point combination encapsulated within a single enclosure.

In one aspect of the invention, the adapter is implemented as a software component or module loaded into the memory of the access  
( 25 point. Preferably, the adapter comprises a wireline network interface, a wireless network interface, an IP stack and network coordination software.

In another aspect of the invention incorporated within a  
30 switched LAN environment, a single adapter device can support a plurality of short-range wireless access points.

#### BRIEF DESCRIPTION OF THE DRAWINGS

35

The preferred embodiments of the invention will hereinafter be described in conjunction with the appended drawings provided to illustrate and not to limit the invention, where like designations

denote like elements, and in which:

FIG. 1 is a block diagram of an adapter connecting wired and wireless networks, in accordance with the present invention;

5

FIG. 2 is a block diagram of an adapter, in accordance with the present invention;

FIG. 3 is a flow chart illustrating a method for forwarding a packet to a wireless interface, in accordance with the present invention;

10

FIG. 4 is a flow chart illustrating a method for forwarding a packet to a wireline interface, in accordance with the present invention;

15

FIG. 5 is a block diagram of an adapter connected to a plurality of access points through a switch, in accordance with the present invention;

20

FIG. 6 is a block diagram illustrating three individual access point segments connected to a single adapter, in accordance with the present invention; and

25

FIG. 7 is a block diagram of an adapter connecting to access points from different wireless networks, in accordance with the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

30

Generally, a typical network environment consists of a network control server connected to a wired Local Area Network (LAN). The adapter's wireline network interface is connected to the Local Area Network and the adapter's wireless network interface is connected to an access point. The network control server is connected to the Local Area Network, which in turn is connected to the Internet backbone.

35

Referring now to FIGS. 1 and 2, one or more adapters 101 are

provided connected to corresponding short-range wireless access points 100. In the preferred embodiment of the present invention, adapter 101 has two network interfaces, a wireless network interface and a wireline network interface. The wireless network interface is connected directly to each access point 100, while the wireline network interface is connected directly to a local area network (LAN) 102 or, alternatively, to a switch/router (not shown in FIG. 1). In this manner, all packets sent between access point 100 and the wired LAN 102 must pass through the adapter 101.

The adapters 101 communicate with a Network Control Server (NCS) 103 which maintains information required by the adapters 101 in the networked environment. Preferably, the NCS 103 communicates with the adapters 101 via LAN 102. However, as will be apparent to those skilled in the art, the Network Control Server 103 can be attached directly to each adapter 101, or it can communicate with the adapters via a wide-area network (WAN), such as the Internet.

Adapter 101 can be implemented as a stand-alone personal computer (PC) or, alternatively, as a special-purpose computing appliance. Alternatively, the adapter 101 can be implemented as a component physically coupled to the access point 100, with the combination encapsulated within a single enclosure. In further aspects of the invention, the adapter 101 is implemented as a software component or module loaded into the memory of access point 100.

In an alternative embodiment of the present invention, adapter 101 functions with an existing wired LAN port, instead of a short-range wireless access point 100. In this case, the adapter's wireline interface 200 is attached to a LAN port (as usual), and a client device or switch can be attached to the adapter's wireless network interface 201 (instead of an access point).

Commonly-assigned pending U.S. patent application serial number 09/637,742, filed August 11, 2000, and incorporated herein by reference, which is titled "Enabling Seamless User Mobility in a Short-Range Wireless Networking Environment", discloses a

wireless networking system wherein a central core server resides in the network infrastructure and provides services to Handoff Management Points (HMPs) as users of devices roam through the environment. The system of the present invention can be implemented in combination with this commonly-assigned invention, wherein the network control server 103 is co-located with the core server or, alternatively, where the network adapters 101 are co-located with the HMPs. This combined configuration enables clients to travel seamlessly between access points that do not directly support coordination through the core server.

Commonly-assigned pending U.S. patent application serial number 09/657,745, filed September 8, 2000, and incorporated herein by reference, which is titled "Location-Independent Routing and Secure Access in a Short-Range Wireless Networking Environment", discloses a system wherein a routing coordinator maintains a plurality of connection table records and wherein a plurality of Home Agent Masqueraders (HAMS) and Foreign Agent Masqueraders (FAMs) communicate with the routing coordinator to ensure that client data connections are preserved as the client travels throughout the short-range wireless network environment. Each of the connection table records includes a client address and port, and a server address and port. The system of the present invention can be implemented in combination with this commonly-assigned invention, where the network control server 103 is co-located with the routing coordinator or, alternatively, where the network adapters 101 are co-located with the HAMS and FAMs. The combined configuration enables clients to preserve network connections as they travel through a short-range wireless network environment and communicate with access points that do not directly support coordination through the routing coordinator.

Accordingly, the network control server 103 of the present invention can be co-located with the core server and/or the routing coordinator of the above-identified commonly-assigned inventions. Moreover, the adapters 101 described in the present invention can be co-located with the HMP and/or the HAM or FAM of these commonly-assigned inventions.

As best depicted in FIG. 2, adapter 101 includes a wireline network interface 200, a wireless network interface 201, network coordination software 202, and an augmented IP stack 203.

5 Wireline network interface 200 can comprise an Ethernet, token ring or other any other local area network (LAN) interface known in the art. In the preferred embodiment of the present invention, network adapter 101 incorporates a single wireline network interface 200. However, as will be apparent to those skilled in  
10 the art, alternative embodiments of the present invention can include multiple wireline network interfaces, each connecting the adapter 101 to a different LAN.

Wireless network interface 201 can comprise an Ethernet  
15 connection, serial cable, RS232 or other cable connection to a wireless access point 100. Preferably, network adapter 101 incorporates a single wireless network interface 201. However, as will be apparent to those skilled in the art, alternative embodiments of the present invention can include multiple wireless  
20 network interfaces, each connecting the adapter 101 to a different wireless access point 100. (See FIGS. 5 - 7, for example.)

Network coordination software 202 is provided for communicating with the network control server 103 to provide  
(, 25 coordination functions on behalf of the adapted access point 100 within the managed network environment. In the preferred embodiment of the present invention, the network coordination software 202 enables the adapter to retrieve network security and quality-of-service policies, retrieve packet rewriting rules,  
30 transmit logs and alerts, and disseminate information pertaining to device arrival and departure. Furthermore, the software receives management commands that are forwarded to the access point itself.

35 Augmented IP stack 203 comprises an IP stack that has been instrumented with particular features to enforce the managed network environment. In the preferred embodiment of the present invention, the aforementioned features include, but are not limited

to, packet filtering and packet rewriting. The packet filtering feature prevents a packet from being forwarded to its intended destination, in accordance with the security, quality-of-service or other policies within the managed network environment. The packet rewriting feature rewrites a packet before it is forwarded to an intended destination, in accordance with the policies within the managed network environment. In the preferred embodiment of the present invention, the packet rewriting functions include Network Address Translation (NAT), an address management technique that is well known in the prior art. In one aspect of the present invention, the packet rewriting policies enable a layer 3 (IP) roaming capability.

In an alternate embodiment of the present invention, the augmented IP stack 203 includes support for a mobile IP Foreign Agent (FA). The mobile IP protocol is defined in RFC 2002, available on the Internet at [www.rfc-editor.org](http://www.rfc-editor.org). In a further alternate embodiment of the present invention, the augmented IP stack includes services that detect and handle packets corresponding to various standard protocols such as the Domain Name Service (DNS) protocol, Dynamic Host Configuration Protocol (DHCP), Remote Authentication Dial-In User Service (RADIUS) protocol, and Internet Group Management Protocol (IGMP). The augmented IP stack, upon detecting a packet corresponding to one of these services, may filter the packet, forward the packet or generate a response in accordance with the policies within the managed network environment.

Referring now to FIG. 3, a preferred method of forwarding a packet to the wireless network is illustrated. Upon receipt by wireline interface 300, the packet is forwarded to augmented IP stack 301. Initially, the augmented IP stack 301 determines whether the packet should be discarded 302. If so, the packet is discarded 303 and the processing is completed. If not, the augmented protocol stack determines whether the packet must be modified 304; if so, the packet is modified in accordance with the implementation of the adapter 101. Finally, the packet is forwarded to the wireless network interface for transmission 305.

At various points in this process, it may be necessary for the adapter 101 to obtain configuration information from the network control server, in which case the network coordination software in the adapter is invoked to retrieve such information. At various  
5 points in this process, the adapter may be required to report information to the network control server, in which case the network coordination software in the adapter is invoked to report the information.

10 Referring now to FIG. 4, a preferred method of forwarding a packet to the wireline network interface is illustrated. Upon being received by the wireless interface 400, a packet is initially forwarded to augmented IP stack 401. The augmented protocol stack determines whether the packet should be discarded (402) and, if so,  
15 the packet is discarded 403 and processing is completed. Where the packet is not to be discarded, the augmented protocol stack determines whether the packet requires modification 404. If modification is required, the packet is modified in accordance with the implementation of the adapter 101. Subsequently, the packet  
20 is forwarded to the wireline network interface for transmission 405. At various points in this process, the adapter 101 may require configuration information from the network control server, in which case the network coordination software in the adapter is invoked to retrieve that information. At various points in this  
25 process, the adapter may be required to report information to the network control server, in which case the network coordination software in the adapter is invoked to report that information.

Referring now to FIG. 5, the adapter 101 is illustrated  
30 connected to a plurality of access points 100 via a switch 500. In an alternative embodiment of the present invention, adapter 101 provides services to a plurality of short-range wireless access points 100. In this environment, a plurality of short-range wireless access points 100 are individually coupled to switch 500.  
35 Although FIG. 5 depicts each access point 100 located on a dedicated segment connected to the switch 500, it will be apparent to those skilled in the art that a single LAN segment can contain multiple wireless access points. Adapter 101 is also attached to

switch 500. In this embodiment, the adapter's wireline and wireless interfaces are preferably integrated into a single connection 503 of switch 500. In one implementation of this embodiment, the switch 500 is programmed to automatically forward  
5 all inbound packets originating from access point LAN segments 501a, 501b, 501c (for example) to the LAN segment 503 containing the adapter 101. The switch 500 is also programmed to automatically forward all packets not originating from the LAN segment 503 containing the adapter (e.g., originating from LAN 102  
10 and arriving via segment 502) and destined to an access point LAN segment 501, to the LAN segment 503 containing the adapter 101. In this manner, the adapter 101 can receive and process all packets originating from and destined to the access points 100.

15 Referring now to FIG. 6, in a further alternate embodiment of the present invention, adapter 101 supports a plurality of switched LANs 500, at least some of which contain wireless access points. In FIG. 6, adapter 101 is shown connected to three switched LANs containing wireless access points. This is merely for illustrative  
20 purposes; obviously, the number of LANs and access points can vary.

A plurality of short-range wireless access points 100 provided are coupled to each switch 500. There are three access point LANs and the switch 500 of each LAN is connected to the wireless network  
25 interface of an adapter 101. The wired network interface of the adapter is connected to a pair of wired LANs 102. One or more personal computers (PCS) 600 are provided connected to each of the wired LANs. In this case, the adapter 101 receives packets sent to or from access points connected to all three switches 500.  
30 Moreover, the adapter is able to process packets sent to or from multiple wired networks 102.

The access points 100 or wireless clients may be programmed to forward all wireline-destined packets to the adapter 101 by  
35 defining the destination media access control (MAC) address to be that of the adapter. For example, the access points 100 can be programmed to treat the adapter 101 as a default IP gateway for network traffic.



In an alternate implementation of the present invention, the network control server 103 can be co-located with the adapter 101 to reduce the quantity of servers necessarily installed in the network environment, and to reduce the overall system cost.

5

In a further alternate implementation of the present invention, the components of the network control server can be distributed to provide improved performance or failure handling.

10

In another implementation of the present invention, the adapter 101 can connect to access points 100 supporting different wireless networks. Furthermore, the aforementioned different wireless networks can incorporate multiple different short-range wireless communication technologies.

15

Referring now to FIG. 7, the adapter 101 is illustrated connected to access points 100 which are connected to multiple different wireless networks. These different wireless networks can employ the same network technology, in which case they have distinguished network identifiers, or they can employ different network technologies such as 802.11 and Bluetooth.

Access points 100 from different wireless networks are connected to an adapter 101 wireless network interface. The adapter wired network interface is connected to the wired Local Area Network 102. It is to be understood that in alternative embodiments, the adapter can be connected to different wireless networks through a plurality of switches, as previously described with respect to FIG. 5 and FIG. 6.

25  
30

While the preferred embodiments of the invention have been illustrated and described, it will be clear that the invention is not limited to these embodiments only. Numerous modifications, changes, variations, substitutions and equivalents will be apparent to those skilled in the art without departing from the spirit and scope of the invention as described in the claims.